



0590 / 1681 0500

SECTOR

0330

PATENT APPLICATION
Attorney Docket No.: CIBT-P01-119

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Ling, et al.

Application No.: 09/883,848

Filed: June 18, 2001

For: ANGIOGENESIS-MODULATING COMPOSITIONS AND
USES

Group Art Unit: 1646

Examiner: N/A

RECEIVED

NOV 25 2002

TECH CENTER 1600/2900

12/B
11/26/02
JM

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail, postage prepaid, in an envelope addressed to: Assistant Commissioner for Patents,

United States Patent and Trademark Office, Washington, D.C., 20231

9/20/01
Date

Karen DiRocco
Karen DiRocco

TRANSMITTAL OF SEQUENCE LISTING AND
PRELIMINARY AMENDMENT IN RESPONSE TO NOTICE TO COMPLY
WITH 37 C.F.R. §§1.821-1.825

Box Sequence
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

This amendment is submitted in response to the Notice to File Missing Parts of Application, and Notice to Comply with Sequence Rules 37 C.F.R. §§1.821-1.825 attached thereto, mailed from the Patent Office on July 20, 2001, copies of which are attached.

Transmitted herewith is a copy of the "Sequence Listing" in paper form for the above-identified patent application as required by 37 C.F.R. §1.821(c) and a copy of the "Sequence Listing" in computer readable form as required by 37 C.F.R. §1.821(e). As required by 37

C.F.R. §1.821(f), Applicant's Attorney hereby states that the content of the "Sequence Listing" in paper form and the computer readable form of the "Sequence Listing" are the same and, as required by 37 C.F.R. §1.821(g), also states that the submission includes no new matter.

Applicant's Attorney submits the following amendments to comply with 37 C.F.R. §1.825:

In the Specification

On page 158, line 27, delete SEQ ID.

On page 158, line 29, delete NO: 36.

On page 162, line 3, insert SEQ ID NO: 48.

Respectfully submitted,

ROPES & GRAY



David P. Halstead
Registration No. 44,735
Telephone (617) 951-7000
Facsimile (617) 951-7050

Boston, Massachusetts 02110

Date: September 20, 2001



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/883,848	06/18/2001	Leona E. Ling	CIBT-P01-119

CONFIRMATION NO. 9957

28120

ROPES & GRAY
ONE INTERNATIONAL PLACE
BOSTON, MA 02110-2624

R&G

Docket No.: CIBT-P01-119Action: OA MPStat. Deadline: 20 Sept 01 / 20 Feb 02

FORMALITIES LETTER



OC000000006326815

Date Mailed: 07/20/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

RECEIVED

NOV 25 2002

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

TECH CENTER 1600/29

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$576.
 - \$306 for 17 total claims over 20.
 - \$270 for multiple dependent claim surcharge.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1416.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawings contain excessive text. Suitable descriptive legends may be used, or may be required by the Examiner where necessary for understanding of the drawing but should contain as few words as possible (see 37 CFR 1.84(o));
- This application does not contain a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). Applicant must provide such statement. If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8,

Ropes & Gray
Intellectual Property Dept.

JUL 23 2001

2000) and 1238 OG 145 (September 19, 2000).

- A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 C.F.R. 1.821(e). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

A copy of this notice MUST be returned with the reply.



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY